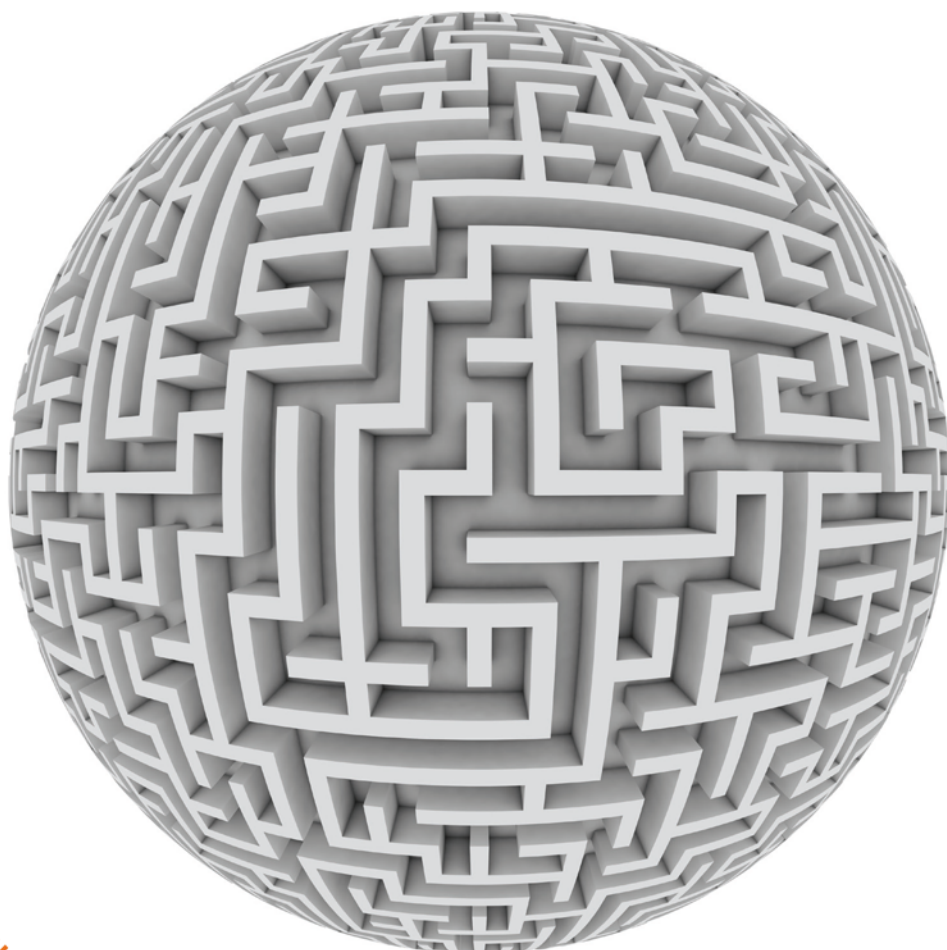


FOURTH EDITION

HALLIDAY'S INTRODUCTION TO
**FUNCTIONAL
GRAMMAR**

M.A.K. Halliday

Revised by Christian M.I.M. Matthiessen



"Essential reading ... teeming with insights."

Michael Toolan, *University of Birmingham, UK*



HALLIDAY'S INTRODUCTION TO FUNCTIONAL GRAMMAR

Fully updated and revised, this fourth edition of *Halliday's Introduction to Functional Grammar* explains the principles of systemic functional grammar, enabling the reader to understand and apply them in any context. Halliday's innovative approach of engaging with grammar through discourse has become a worldwide phenomenon in linguistics.

Updates to the new edition include:

- Recent uses of systemic functional linguistics to provide further guidance for students, scholars and researchers
- More on the ecology of grammar, illustrating how each major system serves to realise a semantic system
- A systematic indexing and classification of examples
- More from corpora, thus allowing for easy access to data
- Extended textual and audio examples and an image bank available online at www.routledge.com/cw/halliday

Halliday's Introduction to Functional Grammar, fourth edition is the standard reference text for systemic functional linguistics and an ideal introduction for students and scholars interested in the relation between grammar, meaning and discourse.

M.A.K. Halliday is Emeritus Professor of Linguistics at the University of Sydney, Australia.

Christian M.I.M. Matthiessen is Chair Professor of the Department of English in the Faculty of Humanities at Hong Kong Polytechnic University.


Related titles include:

The Functional Analysis of English, third edition

Thomas Bloor and Meriel Bloor
ISBN 978 0 415 825 931 (hbk)
ISBN 978 1 444 156 652 (pbk)

Introducing Functional Grammar, third edition

Geoff Thompson
ISBN 978 0 415 826 303 (hbk)
ISBN 978 1 444 152 678 (pbk)



HALLIDAY'S
INTRODUCTION
TO FUNCTIONAL
GRAMMAR

F O U R T H E D I T I O N

M.A.K. Halliday

Revised by Christian M.I.M. Matthiessen

 Routledge
Taylor & Francis Group
LONDON AND NEW YORK

Third edition published 2004 by Hodder Education, an Hachette UK company

This fourth edition published in 2014
by Routledge
2 Park Square, Milton Park, Abingdon, Oxon OX14 4RN

Simultaneously published in the USA and Canada
by Routledge
711 Third Avenue, New York, NY 10017

Routledge is an imprint of the Taylor & Francis Group, an informa business

© 1985, 1994, 2004, 2014 M.A.K. Halliday and Christian M.I.M. Matthiessen

The right of M.A.K. Halliday and Christian M.I.M. Matthiessen to be identified as authors of this work has been asserted by them in accordance with sections 77 and 78 of the Copyright, Designs and Patents Act 1988.

All rights reserved. No part of this book may be reprinted or reproduced or utilised in any form or by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying and recording, or in any information storage or retrieval system, without permission in writing from the publishers.

Trademark notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

British Library Cataloguing in Publication Data
A catalogue record for this book is available from the British Library

Library of Congress Cataloging-in-Publication Data
Halliday, M. A. K. (Michael Alexander Kirkwood), 1925-
[Introduction to functional grammar]
Halliday's introduction to functional grammar / M.A.K. Halliday and Christian Matthiessen. - Fourth Edition
pages cm
Previous ed. published as: Introduction to functional grammar, 2004.
Includes bibliographical references and index.
1. Functionalism (Linguistics) 2. Grammar, Comparative and general. I. Matthiessen, Christian M. I. M., author. II. Title.
P147.H35 2013
410.1'8-dc23
2013006799

ISBN: 9780415826280 (hbk)
ISBN: 9781444146608 (pbk)
ISBN: 9780203431269 (ebk)

Typeset in 10 on 12.5pt Berling
by Phoenix Photosetting, Chatham, Kent



CONTENTS



Conventions ix
Introduction xiii

Part I The Clause 1

- 1 The architecture of language 3**
 - 1.1 Text and grammar 3
 - 1.2 Phonology and grammar 11
 - 1.3 Basic concepts for the study of language 20
 - 1.4 Context, language and other semiotic systems 31
 - 1.5 The location of grammar in language; the role of the corpus 48
 - 1.6 Theory, description and analysis 54

- 2 Towards a functional grammar 58**
 - 2.1 Towards a grammatical analysis 58
 - 2.2 The lexicogrammar cline 64
 - 2.3 Grammaticalization 67
 - 2.4 Grammar and the corpus 69
 - 2.5 Classes and functions 74
 - 2.6 Subject, Actor, Theme 76
 - 2.7 Three lines of meaning in the clause 82

- 3 Clause as message 88**
 - 3.1 Theme and Rheme 88
 - 3.2 Group/phrase complexes as Theme; thematic equatives 92
 - 3.3 Theme and mood 97
 - 3.4 Textual, interpersonal and topical Themes 105
 - 3.5 The information unit: Given + New 114
 - 3.6 Given + New and Theme + Rheme 119
 - 3.7 Predicated Themes 122
 - 3.8 Theme in bound, minor and elliptical clauses 125
 - 3.9 Thematic interpretation of a text 128



CONTENTS

- 4 Clause as exchange 134**
 - 4.1 The nature of dialogue 134
 - 4.2 The Mood element 139
 - 4.3 Other elements of Mood structure 151
 - 4.4 Mood as system; further options 160
 - 4.5 POLARITY and MODAL ASSESSMENT (including modality) 172
 - 4.6 Absence of elements of the modal structure 193
 - 4.7 Clause as Subject 197
 - 4.8 Texts 200

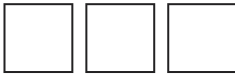
- 5 Clause as representation 211**
 - 5.1 Modelling experience of change 211
 - 5.2 Material clauses: processes of doing-&-happening 224
 - 5.3 Mental clauses: processes of sensing 245
 - 5.4 Relational clauses: processes of being & having 259
 - 5.5 Other process types; summary of process types 300
 - 5.6 Circumstantial elements 310
 - 5.7 Transitivity and voice: another interpretation 332
 - 5.8 Text illustrations 356

- Part II Above, Below and Beyond the Clause 359**

- 6 Below the clause: groups and phrases 361**
 - 6.1 Groups and phrases 361
 - 6.2 Nominal group 364
 - 6.3 Verbal group 396
 - 6.4 Adverbial group, conjunction group, preposition group 419
 - 6.5 Prepositional phrase 424
 - 6.6 Word classes and group functions 426

- 7 Above the clause: the clause complex 428**
 - 7.1 The notion of 'clause complex' 428
 - 7.2 Types of relationship between clauses 438
 - 7.3 Taxis: parataxis and hypotaxis 451
 - 7.4 Elaborating, extending, enhancing: three kinds of expansion 460
 - 7.5 Reports, ideas and facts: three kinds of projection 508
 - 7.6 The clause complex as textual domain 549
 - 7.7 Clause complex and tone 553
 - 7.8 Texts 555

- 8 Group and phrase complexes 557**
 - 8.1 Overview of complexing at group/phrase rank 557
 - 8.2 Parataxis: groups and phrases 560
 - 8.3 Hypotaxis: nominal group 564
 - 8.4 Hypotaxis: adverbial group/prepositional phrase 565



Contents

8.5	Hypotaxis: verbal group, expansion (1): general	567
8.6	Hypotaxis: verbal group, expansion (2): passives	575
8.7	Hypotaxis: verbal group, expansion (3): causative	578
8.8	Hypotaxis: verbal group, projection	584
8.9	Logical organization: complexes at clause and group/phrase structure, and groups	588
9	Around the clause: cohesion and discourse	593
9.1	The concept of text; logogenetic patterns	593
9.2	The lexicogrammatical resources of COHESION	603
9.3	CONJUNCTION	609
9.4	REFERENCE	623
9.5	ELLIPSIS and SUBSTITUTION	635
9.6	LEXICAL COHESION	642
9.7	The creation of texture	650
10	Beyond the clause: metaphorical modes of expression	659
10.1	Lexicogrammar and semantics	659
10.2	Semantic domains	666
10.3	MODALITY	686
10.4	Interpersonal metaphor: metaphors of mood	698
10.5	Ideational metaphors	707
	References	732
	Index	753

This page intentionally left blank



CONVENTIONS



Systemic description

Capitalization labels used in systems and realization statements

Capitalization	Convention	Example
lower case, or lower case with single quotes	name of term in system (feature, option)	'indicative'/'imperative'
small capitals	name of name of system	MOOD, MOOD TYPE, SUBJECT PERSON
initial capital	name of structural function (element)	Mood, Subject; Theme, Rheme

Operators in system specifications

Operator	Symbol	Example
entry condition leading to terms in system	:	indicative : declarative/interrogative
systemic contrast (disjunction)	/	declarative/interrogative; declarative/imperative: tagged/untagged
systemic combination (conjunction)	&	intensive & identifying: assigned/non-assigned

Operators in realization statements

Operator	Symbol	Example
insert	+	indicative ↘ + Finite
order	^	declarative ↘ Subject ^ Finite
expand	()	indicative ↘ Mood (Finite, Subject)
preselect	:	mental ↘ Senser: conscious